

DIGIBET NV

Security Policy

1. POLICY

- I. It is the policy of DIGIBET NV that information, as defined hereinafter, in all its forms--written, spoken, recorded electronically or printed--will be protected from accidental or intentional unauthorized modification, destruction or disclosure throughout its life cycle. This protection includes an appropriate level of security over the equipment and software used to process, store, and transmit that information.
- II. All policies and procedures must be documented and made available to individuals responsible for their implementation and compliance. All activities identified by the policies and procedures must also be documented. All the documentation, which may be in electronic form, must be retained for at least 6 (six) years after initial creation, or, pertaining to policies and procedures after changes are made. All documentation must be periodically reviewed for appropriateness and currency, a period of time to be determined by each entity within DIGIBET NV.
- III. At each entity and/or department level, additional policies, standards, and procedures will be developed detailing the implementation of this policy and set of standards and addressing any additional information systems functionality in such entity and/or department. All departmental policies must be consistent with this policy. All systems implemented after the effective date of these policies are expected to comply with the provisions of this policy where possible. Existing systems are expected to be brought into compliance where possible and as soon as practical.

2. SCOPE

- I. The scope of information security includes the protection of the confidentiality, integrity and availability of information.
- II. The framework for managing information security in this policy applies to all DIGIBET NV entities and workers, and other Involved Persons and all Involved Systems throughout DIGIBET NV as defined below in INFORMATION SECURITY DEFINITIONS.
- III. This policy and all standards apply to all protected health information and other classes of protected information in any form as defined below in INFORMATION CLASSIFICATION.

3. RISK MANAGEMENT

- I. A thorough analysis of all DIGIBET NV information networks and systems will be conducted on a periodic basis to document the threats and vulnerabilities to stored and transmitted information. The analysis will examine the types of threats – internal or external, natural or manmade, electronic and non-electronic - that affect the ability to manage the information resource. The analysis will also document the existing vulnerabilities within each entity which potentially expose the information resource to the threats. Finally, the analysis will also include an evaluation of the information assets and the technology associated with its collection, storage, dissemination, and protection.
- II. From the combination of threats, vulnerabilities, and asset values, an estimate of the risks to the confidentiality, integrity, and availability of the information will be determined. The frequency of the risk analysis will be determined at the entity level.
- III. Based on the periodic assessment, measures will be implemented that reduce the impact of the threats by reducing the amount and scope of the vulnerabilities.
- IV. DIGIBET NV utilizes a number of protection mechanisms to prevent unauthorized access to the data and application software. Firewall controls are implemented to check for a number of common network attacks such as DOS, Synflood, Eavesdropping etc. ISP should then start blocking IP addresses of attacking botnet. The stateful inspection of protocol enabled on the firewall also inspects protocols of a higher level to help protect applications from hacking using known vulnerabilities in the code. To protect application code stored and executed on the server's checksums are compared to the referential database on a daily basis. The list of changed files (if any) is sent to the system administrator for control and further actions. In order to prevent virus infiltration, anti-virus software is scanning all files in system memory as well as those written to disks. Daily AV definitions updates followed by disks scans are invoked in the time of least system load.

4. INFORMATION SECURITY DEFINITIONS

- I. Affiliated Covered Entities: Legally separate, but affiliated, covered entities which choose to designate themselves as a single covered entity for purposes of HIPAA.
- II. Availability: Data or information is accessible and usable upon demand by an authorized person.
- III. Confidentiality: Data or information is not made available or disclosed to unauthorized persons or processes.
- IV. Integrity: Data or information has not been altered or destroyed in an unauthorized manner.
- V. Involved Persons: Every employee at DIGIBET NV- no matter what their status. This includes employees, contractors, consultants, temporaries, etc.
- VI. Involved Systems: All computer equipment and network systems that are operated within the DIGIBET NV environment. This includes all platforms (operating systems), all computer sizes (personal digital assistants, desktops, mainframes, etc.), and all applications and data (whether developed in-house or licensed from third parties) contained on those systems.
- VII. Risk: The probability of a loss of confidentiality, integrity, or availability of information resources.

5. INFORMATION SECURITY RESPONSIBILITIES

- I. Information Security Officer: The Information Security Officer (ISO) for each entity is responsible for working with user management, owners, custodians, and users to develop and implement prudent security policies, procedures, and controls, subject to the approval of DIGIBET NV. Specific responsibilities include:
 1. Ensuring security policies, procedures, and standards are in place and adhered to by entity.
 2. Providing basic security support for all systems and users.
 3. Advising owners in the identification and classification of computer resources. See Section VI Information Classification.
 4. Advising systems development and application owners in the implementation of security controls for information on systems, from the point of system design, through testing and production implementation.
 5. Educating custodian and user management with comprehensive information about security controls affecting system users and application systems.
 6. Providing on-going employee security education.

7. Performing security audits.

- II. Information Owner: The owner of a collection of information is usually the manager responsible for the creation of that information or the primary user of that information. This role often corresponds with the management of an organizational unit. In this context, ownership does not signify proprietary interest, and ownership may be shared. The owner may delegate ownership responsibilities to another individual by giving an Information Owner Delegation authorization. The owner of information has the responsibility for:
 - 1. Knowing the information for which she/he is responsible.
 - 2. Determining a data retention period for the information.
 - 3. Ensuring appropriate procedures are in effect to protect the integrity, confidentiality, and availability of the information used or created within the unit.
 - 4. Authorizing access and assigning custodianship.
 - 5. Specifying controls and communicating the control requirements to the custodian and users of the information.
 - 6. Reporting promptly to the ISO the loss or misuse of DIGIBET NV information.
 - 7. Initiating corrective actions when problems are identified.
 - 8. Promoting employee education and awareness by utilizing programs approved by the ISO, where appropriate.
 - 9. Following existing approval processes within the respective organizational unit for the selection, budgeting, purchase, and implementation of any computer system/software to manage information.
- III. Custodian: The custodian of information is generally responsible for the processing and storage of the information. The custodian is responsible for the administration of controls as specified by the owner. Responsibilities may include:
 - 1. Providing and/or recommending physical safeguards.
 - 2. Providing and/or recommending procedural safeguards.
 - 3. Administering access to information.

4. Releasing information as authorized by the Information Owner and/or the Information Privacy/ Security Officer for use and disclosure using procedures that protect the privacy of the information.
5. Evaluating the cost effectiveness of controls.
6. Maintaining information security policies, procedures and standards as appropriate and in consultation with the ISO.
7. Promoting employee education and awareness by utilizing programs approved by the ISO, where appropriate.
8. Reporting promptly to the ISO the loss or misuse of DIGIBET NV information.
9. Identifying and responding to security incidents and initiating appropriate actions when problems are identified.

IV. User Management: DIGIBET NV management who supervise users as defined below. User management is responsible for overseeing their employees' use of information, including:

1. Reviewing and approving all requests for their employees access authorizations.
2. Initiating security change requests to keep employees' security record current with their positions and job functions.
3. Promptly informing appropriate parties of employee terminations and transfers, in accordance with local entity termination procedures.
4. Revoking physical access to terminated employees, i.e., confiscating keys, changing combination locks, etc.
5. Providing employees with the opportunity for training needed to properly use the computer systems.
6. Reporting promptly to the ISO the loss or misuse of DIGIBET NV information.
7. Initiating corrective actions when problems are identified.
8. Following existing approval processes within their respective organization for the selection, budgeting, purchase, and implementation of any computer system/software to manage information.

V. User: The user is any person who has been authorized to read, enter, or update information. A user of information is expected to:

1. Access information only in support of their authorized job responsibilities.

2. Comply with Information Security Policies and Standards and with all controls established by the owner and custodian.
3. Keep personal authentication devices (e.g. passwords, SecureCards, PINs, etc.) confidential.
4. Report promptly to the ISO the loss or misuse of DIGIBET NV information.
5. Initiate corrective actions when problems are identified.

6. INFORMATION CLASSIFICATION

Classification is used to promote proper controls for safeguarding the confidentiality of information. Regardless of classification the integrity and accuracy of all classifications of information must be protected. The classification assigned and the related controls applied are dependent on the sensitivity of the information. Information must be classified according to the most sensitive detail it includes. Information recorded in several formats (e.g., source document, electronic record, report) must have the same classification regardless of format. The following levels are to be used when classifying information:

I. Confidential Information

1. Confidential Information is very important and highly sensitive material. This information is private or otherwise sensitive in nature and must be restricted to those with a legitimate business need for access.

Examples of Confidential Information may include: personnel information, key financial information, proprietary information of commercial research sponsors, system access passwords and information file encryption keys.

2. Unauthorized disclosure of this information to people without a business need for access may violate laws and regulations, or may cause significant problems for DIGIBET NV, its customers, or its business partners. Decisions about the provision of access to this information must always be cleared through the information owner.

II. Internal Information

1. Internal Information is intended for unrestricted use within DIGIBET NV, and in some cases within affiliated organizations such as DIGIBET NV business partners. This type of information is already widely-distributed within DIGIBET NV, or it could be so distributed within the organization without advance permission from the information owner.

Examples of Internal Information may include: personnel directories, internal policies and procedures, most internal electronic mail messages.

2. Any information not explicitly classified as Confidential or Public will, by default, be classified as Internal Information.

3. Unauthorized disclosure of this information to outsiders may not be appropriate due to legal or contractual provisions.

III. Public Information

1. Public Information has been specifically approved for public release by a designated authority within each entity of DIGIBET NV. Examples of Public Information may include marketing brochures and material posted to DIGIBET NV entity internet web pages.

2. This information may be disclosed outside of DIGIBET NV.

7. COMPUTER AND INFORMATION CONTROL

All involved systems and information are assets of DIGIBET NV and are expected to be protected from misuse, unauthorized manipulation, and destruction. These protection measures may be physical and/or software based.

I. Ownership of Software: All computer software developed by DIGIBET NV employees or contract personnel on behalf of DIGIBET NV or licensed for DIGIBET NV use is the property of DIGIBET NV and must not be copied for use at home or any other location, unless otherwise specified by the license agreement.

II. Installed Software: All software packages that reside on computers and networks within DIGIBET NV must comply with applicable licensing agreements and restrictions and must comply with DIGIBET NV acquisition of software policies.

III. Virus Protection: Virus checking systems approved by the Information Security Officer and Information Services must be deployed using a multi-layered approach (desktops, servers, gateways, etc.) that ensures all electronic files are appropriately scanned for viruses. Users are not authorized to turn off or disable virus checking systems.

IV. Access Controls: Physical and electronic access to Confidential and Internal information and computing resources is controlled. To ensure appropriate levels of access by internal workers, a variety of security measures will be instituted as recommended by the Information Security Officer and approved by GLB INTERNATIONAL NV.

Mechanisms to control access to Confidential and Internal information include (but are not limited to) the following methods:

1. Authorization: Access will be granted on a “need to know” basis and must be authorized by the immediate supervisor and application owner with the assistance of the ISO. Any of the following methods are acceptable for providing access under this policy:

a. Context-based access: Access control based on the context of a transaction (as opposed to being based on attributes of the initiator or target). The “external” factors might include time of day, location of the user, strength of user authentication, etc.

b. Role-based access: An alternative to traditional access control models (e.g., discretionary or non-discretionary access control policies) that permits the specification and enforcement of enterprise-specific security policies in a way that maps more naturally to an organization’s structure and business activities. Each user is assigned to one or more predefined roles, each of which has been assigned the various privileges needed to perform that role.

c. User-based access: A security mechanism used to grant users of a system access based upon the identity of the user.

2. Identification/Authentication: Unique user identification (user id) and authentication is required for all systems that maintain or access, Confidential and/or Internal Information. Users will be held accountable for all actions performed on the system with their user id.

a. At least one of the following authentication methods must be implemented:

1. strictly controlled passwords (Attachment 1 – Password Control Standards),

2. biometric identification, and/or

3. tokens in conjunction with a PIN.

b. The user must secure his/her authentication control (e.g. password, token) such that it is known only to that user and possibly a designated security manager.

c. An automatic timeout re-authentication must be required after a certain period of no activity (maximum 15 minutes).

d. The user must log off or secure the system when leaving it.

3. Data Integrity: DIGIBET NV must be able to provide corroboration that Confidential, and Internal Information has not been altered or destroyed in an unauthorized manner. Listed below are some methods that support data integrity:

- a. transaction audit
- b. disk redundancy (RAID)
- c. ECC (Error Correcting Memory)
- d. checksums (file integrity)
- e. encryption of data in storage
- f. digital signatures

4. Transmission Security: Technical security mechanisms must be put in place to guard against unauthorized access to data that is transmitted over a communications network, including wireless networks. The following features must be implemented:

- a. integrity controls and
- b. encryption, where deemed appropriate

5. Remote Access: Access into DIGIBET NV network from outside will be granted using DIGIBET NV approved devices and pathways on an individual user and application basis. All other network access options are strictly prohibited. Further Confidential and/or Internal Information that is stored or accessed remotely must maintain the same level of protections as information stored and accessed within the DIGIBET NV network.

6. Physical Access: Access to areas in which information processing is carried out must be restricted to only appropriately authorized individuals.

The following physical controls must be in place:

- a. Mainframe computer systems must be installed in an access-controlled area. The area in and around the computer facility must afford protection against fire, water damage, and other environmental hazards such as power outages and extreme temperature situations.

b. File servers containing Confidential and/or Internal Information must be installed in a secure area to prevent theft, destruction, or access by unauthorized individuals.

c. Workstations or personal computers (PC) must be secured against use by unauthorized individuals. Local procedures and standards must be developed on secure and appropriate workstation use and physical safeguards which must include procedures that will:

1. Position workstations to minimize unauthorized viewing of protected health information.
2. Grant workstation access only to those who need it in order to perform their job function.
3. Establish workstation location criteria to eliminate or minimize the possibility of unauthorized access to protected health information.
4. Employ physical safeguards as determined by risk analysis, such as locating workstations in controlled access areas or installing covers or enclosures to preclude passerby access to.
5. Use automatic screen savers with passwords to protect unattended machines.

d. Facility access controls must be implemented to limit physical access to electronic information systems and the facilities in which they are housed, while ensuring that properly authorized access is allowed. Local policies and procedures must be developed to address the following facility access control requirements:

1. Contingency Operations – Documented procedures that allow facility access in support of restoration of lost data under the disaster recovery plan and emergency mode operations plan in the event of an emergency.
2. Facility Security Plan – Documented policies and procedures to safeguard the facility and the equipment therein from unauthorized physical access, tampering, and theft.
3. Access Control and Validation – Documented procedures to control and validate a person's access to facilities based on their role or function, including visitor control, and control of access to software programs for testing and revision.

4. Maintenance records – Documented policies and procedures to document repairs and modifications to the physical components of the facility which are related to security (for example, hardware, walls, doors, and locks).

7. Emergency Access:

a. Each entity is required to establish a mechanism to provide emergency access to systems and applications in the event that the assigned custodian or owner is unavailable during an emergency.

b. Procedures must be documented to address:

1. Authorization,

2. Implementation, and

3. Revocation

V. Equipment and Media Controls: The disposal of information must ensure the continued protection of Confidential and Internal Information. Each entity must develop and implement policies and procedures that govern the receipt and removal of hardware and electronic media that contain into and out of a facility, and the movement of these items within the facility. The following specification must be addressed:

1. Information Disposal / Media Re-Use of:

a. Hard copy (paper)

b. Magnetic media (hard drives, zip disks, etc.) and

c. Optical media (CD, DVD, etc.)

2. Accountability: Each entity must maintain a record of the movements of hardware and electronic media and any person responsible therefore.

3. Data backup and Storage: When needed, create a retrievable, exact copy of electronic backup before movement of equipment.

4. Disposal of equipment and media: All electronic drives are degaussed or overwritten with a commercially available disk cleaning program. Hard drives and other media (diskettes, CD, DVD, portable storage, memory sticks, etc.) are also be removed and rendered unreadable (drilling, crushing or other demolition methods). Technology equipment with non-functioning memory or

storage technology will have the memory or storage device removed and it will be physically destroyed or recycled.

VI. Other Media Controls:

1. Confidential Information stored on external media (diskettes, CD, DVD, portable storage, memory sticks, etc.) must be protected from theft and unauthorized access. Such media must be appropriately labeled so as to identify it as Confidential Information. Further, external media containing Confidential Information must never be left unattended in unsecured areas.

2. Confidential Information must never be stored on mobile computing devices (laptops, personal digital assistants (PDA), smart phones, tablet PC's, etc.) unless the devices have the following minimum security requirements implemented:

- a. Power-on passwords
- b. Auto logoff or screen saver with password
- c. Encryption of stored data or other acceptable safeguards approved by Information Security Officer

Further, mobile computing devices must never be left unattended in unsecured areas.

3. If Confidential Information is stored on external medium or mobile computing devices and there is a breach of confidentiality as a result, then the owner of the medium/device will be held personally accountable and is subject to the terms and conditions of DIGIBET NV Information Security Policies and Confidentiality Statement signed as a condition of employment or affiliation with DIGIBET NV.

VII. Data Transfer/Printing:

1. Electronic Mass Data Transfers: Downloading and uploading Confidential, and Internal Information between systems must be strictly controlled. Requests for mass download of, or individual requests for, information for research purposes that include must be approved through the Internal Review Board (IRB). All other mass downloads of information must be approved by the Application Owner and include only the minimum amount of information necessary to fulfill the request. Applicable Business Associate Agreements must be in place when transferring to external entities.

2. Other Electronic Data Transfers and Printing: Confidential and Internal Information must be stored in a manner inaccessible to unauthorized individuals. Confidential information must not be downloaded, copied or printed indiscriminately or left unattended and open to compromise that is downloaded for educational purposes where possible should be de-identified before use.

VIII. Oral Communications: DIGIBET NV staff should be aware of their surroundings when discussing Confidential Information. This includes the use of cellular telephones in public areas. DIGIBET NV staff should not discuss Confidential Information in public areas if the information can be overheard. Caution should be used when conducting conversations in: semi-private rooms, waiting rooms, corridors, elevators, stairwells, cafeterias, restaurants, or on public transportation.

IX. Audit Controls: Hardware, software, and/or procedural mechanisms that record and examine activity in information systems must be implemented. Further, procedures must be implemented to regularly review records of information system activity, such as audit logs, access reports, and security incident tracking reports. These reviews must be documented and maintained for two (2) years.

X. Evaluation: DIGIBET NV requires that periodic technical and non-technical evaluations be performed in response to environmental or operational changes affecting the security of electronic to ensure its continued protection.

XI. Contingency Plan: Controls must ensure that DIGIBET NV can recover from any damage to computer equipment or files within a reasonable period of time. Each entity is required to develop and maintain a plan for responding to a system emergency or other occurrence (for example, fire, vandalism, system failure and natural disaster) that damages systems that contain Confidential, or Internal Information. This will include developing policies and procedures to address the following:

1. Data Backup Plan:

a. A data backup plan must be documented and routinely updated to create and maintain, for a specific period of time, retrievable exact copies of information.

b. Backup data must be stored in an off-site location and protected from physical damage.

c. Backup data must be afforded the same level of protection as the original data.

8. Compliance

2. Disaster Recovery Plan: A disaster recovery plan must be developed and documented which contains a process enabling the entity to restore any loss of data in the event of fire, vandalism, natural disaster, or system failure.

3. Emergency Mode Operation Plan: A plan must be developed and documented which contains a process enabling the entity to continue to operate in the event of fire, vandalism, natural disaster, or system failure.

4. Testing and Revision Procedures: Procedures should be developed and documented requiring periodic testing of written contingency plans to discover weaknesses and the subsequent process of revising the documentation, if necessary.

5. Applications and Data Criticality Analysis: The criticality of specific applications and data in support of other contingency plan components must be assessed and documented.

I. The Information Security Policy applies to all users of DIGIBET NV information including: employees, and outside affiliates. Failure to comply with Information Security Policies and Standards by employees and outside affiliates may result in disciplinary action up to and including dismissal in accordance with applicable DIGIBET NV procedures, or, in the case of outside affiliates, termination of the affiliation. Further, penalties associated with the legislation may apply.

II. Possible disciplinary/corrective action may be instituted for, but is not limited to, the following:

1. Unauthorized disclosure of Confidential Information as specified in Confidentiality Statement.
2. Unauthorized disclosure of sign-on code, user name, id or password.
3. Attempting to obtain a sign-on code, user name, id or password that belongs to another person.
4. Using or attempting to use another person's sign sign-on code, user name, id or password.
5. Unauthorized use of an authorized password to invade information for which

there has been no request for review.

6. Installing or using unlicensed software on DIGIBET NV computers.

7. The intentional unauthorized destruction of DIGIBET NV information.

8. Attempting to get access to sign-on codes for purposes other than official business, including completing fraudulent documentation to gain access.

--- ATTACHMENT 1 ---

Password Control Standards

The DIGIBET NV Information Security Policy requires the use of strictly controlled passwords for accessing Confidential Information (CI) and Internal Information (II). (See DIGIBET NV Information Security Policy for definition of these protected classes of information.)

Listed below are the minimum standards that must be implemented in order to ensure the effectiveness of password controls.

Standards for accessing CI, II:

Users are responsible for complying with the following password standards:

1. Passwords must never be shared with another person, unless the person is a designated security manager.
2. Every password must, where possible, be changed regularly – (between 45 and 90 days depending on the sensitivity of the information being accessed)
3. Passwords must, where possible, have a minimum length of six characters.
4. Passwords must never be saved when prompted by any application with the exception of central single sign-on (SSO) systems as approved by the ISO. This feature should be disabled in all applicable systems.
5. Passwords must not be programmed into a PC or recorded anywhere that someone may find and use them.
6. When creating a password, it is important not to use words that can be found in dictionaries or words that are easily guessed due to their association with the user (i.e. children's names, pets' names, birthdays, etc...). Combinations of alpha and numeric characters are more difficult to guess.

Where possible, system software must enforce the following password standards:

1. Passwords routed over a network must be encrypted.
2. Passwords must be entered in a non-display field.
3. System software must enforce the changing of passwords and the minimum length.
4. System software must disable the user identification code when more than three consecutive invalid passwords are given within a 15 minute timeframe. Lockout time must be set at a minimum of 30 minutes.
5. System software must maintain a history of previous passwords and prevent their reuse.